



276

PATENT
Attorney Docket No. 224297

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Garlich et al.

Group Art Unit: 1614

Application No. 10/817,622

Examiner: Unassigned

Filed: April 2, 2004

For: TARGETED BONE MARROW
PROTECTION AGENTS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ **within** any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☐ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:
- ☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).
- or*
- ☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).
- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

Copies of the References

- ☐ Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.
- ☒ Copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith. Copies of other references identified on the accompanying Form 1449 are enclosed herewith.
- ☒ Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3) or an English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).
- ☒ Copies of the PCT search report and Written Opinion associated with international application no. PCT/US04/010286 are enclosed herewith.

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| U.S. APPLICATIONS | | Status (<i>check one</i>) | | |
|-------------------|------------------|-----------------------------|---------|-----------|
| U.S. APPLICATIONS | U.S. FILING DATE | PATENTED | PENDING | ABANDONED |
| 1. | | | | |
| 2. | | | | |
| 3. | | | | |

Statement under 37 CFR 1.97(e)

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
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Fees

- ☒ No fee is owed by the applicant(s).
☐ The IDS Fee of \$180 under 37 CFR 1.17(p) is enclosed herewith.

In re Appln. of Garlich et al.
Application No. 10/817,622



Method of Payment of Fees

- ☐ Attached is a check in the amount of \$.
☐ Charge Deposit Account No. 12-1216 in the amount of \$. (A duplicate copy of this communication is enclosed for that purpose.)

Authorization to Charge Additional Fees

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Instructions as to Overpayment

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Xavier Pillai, Reg. No. 39,799
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(312) 616-5700 (facsimile)

Date: November 10, 2004

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 11-10-04



PATENT
Attorney Docket No. 224297

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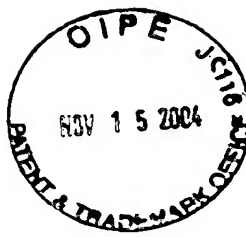
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(312) 616-5700 (facsimile)

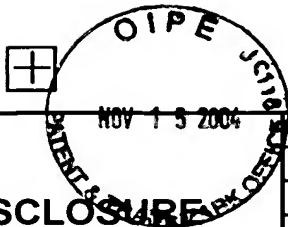
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Date: 11-10-04

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Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

| | |
|------------------------|----------------|
| Application Number | 10/817,622 |
| Filing Date | April 2, 2004 |
| First Named Inventor | Garlich et al. |
| Group Art Unit | 1614 |
| Examiner Name | Unassigned |
| Attorney Docket Number | 224297 |

Sheet 1 of 1

U.S. PATENT DOCUMENTS

| Examiner Initials | Doc. No. | U.S. Patent Document | | Name of Patentee or Applicant | Date of Publication | Filing Date If Appropriate |
|----------------------|-------------|---------------------------------|-----------|-------------------------------|------------------------|-------------------------------|
| | | Application or Patent Number | Kind Code | | | |
| | BO | 6,165,440 | | Esenaliev | Dec. 26, 2000 | |
| | BP | 6,436,386 | B1 | Roberts et al. | Aug. 20, 2002 | |
| | | | | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Doc. No. | Foreign Patent Document | | | Name of Patentee or Applicant | Date of Publication | Translation | |
|----------------------|-------------|-------------------------|---------------------------------|--------------|--|---------------------|-------------|------|
| | | Office | Application or Patent Number | Kind Code | | | Yes | No** |
| | BQ | WO | 94/00145 | A1 | THE DOW CHEMICAL COMPANY | Jan. 6, 1994 | | |
| | BR | WO | 96/20698 | A2 | THE BOARD OF REGENTS THE UNIVERSITY OF MICHIGAN | Jul. 11, 1996 | | |
| | BS | WO | 00/66090 | A1 | BIOTECH AUSTRALIA PTY LTD. | Nov. 9, 2000 | | |
| | BT | WO | 02/04409 | A2 | THE GOVERNMENT OF THE UNITED STATES OF AMERICA, as represented by THE SECRETARY, DEPARTMENT OF HEALTH AND HUMAN SERVICES | Jan. 17, 2002 | | |
| | BU | WO | 02/40058 | A2 | SHEARWATER CORP. | May 23, 2002 | | |
| | BV | WO | 03/087389 | A2 | GENESEGUES, INC. | Oct. 23, 2003 | | |
| | BW | WO | 03/088950 | A1 | PRESIDENT AND FELLOWS OF HARVARD COLLEGE | Oct. 30, 2003 | | |
| | BX | WO | 2004/089345 | A1 | SEMAFORE PHARMACEUTICALS INC. | Oct. 21, 2004 | | |
| | BY | WO | 2004/089356 | A2 | SEMAFORE PHARMACEUTICALS INC. | Oct. 21, 2004 | | |
| | BZ | EP | 0 524 055 | A1 | SYNTHELABO | Jan. 20, 1993 | | X* |
| | CA | EP | 0 568 096 | A1 | HODOGAYA CHEMICAL CO., LTD. | Nov. 3, 1993 | | |
| | | | | | | | | |

OTHER - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Doc. No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Translation | |
|----------------------|-------------|--|-------------|------|
| | | | Yes | No** |
| | CB | Foster et al., <i>Science</i> , 286, 2507-2510 (1999) | | |
| | CC | Hans et al., <i>Current Opinion in Solid State and Materials Science</i> , 6, 319-327 (2002) | | |
| | CD | Hood et al., <i>Science</i> , 296, 2404-2407 (2002) | | |
| | CE | Kreuter, <i>European J. of Drug Metabolism and Pharmacokinetics</i> , 3, 253-256 (1994) | | |
| | CF | Oh et al., <i>J. of Controlled Release</i> , 57, 269-280 (1999) | | |
| | CG | Otsuka et al., <i>Advanced Drug Delivery Reviews</i> , 55, 403-419 (2003) | | |
| | CH | Panyam et al., <i>Advanced Drug Delivery Reviews</i> , 55, 329-347 (2003) | | |
| | CI | Sahoo et al., <i>DDT</i> , 8, 1112-1120 (2003) | | |
| | | | | |

| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).